



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Naoya SATOH et al.  
Title: COMPOSITION FOR POROUS  
ORGANIC FILM  
Appl. No.: 10/694,400  
Filing Date: 10/28/2003  
Examiner: Unassigned  
Art Unit: 1762

**TRANSMITTAL OF MISSING PARTS  
OF PATENT APPLICATION**

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts of Application mailed August 6, 2004, in the above-identified application, transmitted herewith are the missing parts to complete the filing of the subject patent application.

Enclosed are:

- [ X ] Preliminary Amendment (8 pages).
- [ X ] Copy of Substitute Specification (62 pages).
- [ X ] Statement Under 37 C.F.R. §1.32(c)(3) Designating No More Than Ten Patent Practitioners (1 page).
- [ X ] Declaration and Power of Attorney (3 pages).
- [ X ] Claim for Convention Priority and certified copies of two priority documents.
- [ X ] Information Disclosure Statement (3 pages).
- [ X ] Form PTO/SB/08 with copy of 1 reference.

☒ Return Copy of Notice to File Missing Parts (2 pages).

**After reviewing the Notice to File Missing Parts, Applicants noticed that the amount for additional claim fees is incorrect. Therefore the amount being paid is different than that noted on the notice.**

The filing fee is calculated below:

	Claims as Filed		Included in Basic Fee		Extra Claims		Rate		Fee Totals
Basic Fee							\$790.00		\$790.00
Total	23	-	20	=	3	x	\$18.00	=	\$54.00
Claims:									
Independ	1	-	3	=	0	x	\$88.00	=	\$0.00
ents:									
If any Multiple Dependent Claim(s) present:						+	\$300.00	=	\$300.00
Surcharge under 37 CFR 1.16(e) for late filing						+			
of Executed Declaration and late payment of							\$130.00	=	\$130.00
filing fee									
							SUBTOTAL:	=	\$1,274.00
<input type="checkbox"/> Small Entity Fees Apply (subtract 1/2 of above):								=	\$0.00
							TOTAL FILING FEE:	=	\$1,274.00
							TOTAL FEE	=	\$1,274.00
Difference to pay:							- \$0.00	-	\$1,274.00

☒ Check in the amount of \$1,274.00 in payment of surcharge fee (37 C.F.R. § 1.16(e))

☐ Please charge Deposit Account No. 19-0741 in the amount of \$0.00 in payment of surcharge fee (37 C.F.R. § 1.16(e))

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date: October 6, 2004

By Michael D. Kaminski

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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
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 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/694,400	10/28/2003	Naoya Satoh	023174-0106

22428  
 FOLEY AND LARDNER  
 SUITE 500  
 3000 K STREET NW  
 WASHINGTON, DC 20007



CONFIRMATION NO. 9215

## FORMALITIES LETTER



\*OC000000013464480\*

Date Mailed: 08/06/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52, 1.121(b)(3), and 1.125, is required. The specification, claims, or abstract page(s) submitted is not acceptable and cannot be scanned or properly stored because:
  - Papers must be legibly written either by a typewriter or mechanical printer in permanent ink or its equivalent in portrait orientation on flexible, strong, smooth, non-shiny, durable, and white paper. Application papers must be presented in a form having sufficient clarity and contrast between the paper and the writing thereon to permit the direct reproduction of readily legible copies in any number by use of photographic, electrostatic, photo-offset, and microfilming processes and electronic

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01 FC:1001	790.00 OP
02 FC:1202	54.00 OP
03 FC:1203	300.00 OP
04 FC:1051	130.00 OP

reproduction by use of digital imaging and optical character recognition. Pages 1-62 are not in compliance with 37 CFR 1.52(a).

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$1334** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$2234** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$1334**
  - **\$1044** for **58** total claims over 20.
  - **\$290** for multiple dependent claim surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

*AG*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE